

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	F	ILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
09/842,896 04/27/2001		04/27/2001	Masaharu Hayashi	0425-0836P	7584		
2292	7590	10/07/2005		EXAM	EXAMINER		
BIRCH ST PO BOX 74		KOLASCH & BI	PRYOR, ALTO	PRYOR, ALTON NATHANIEL			
	•	A 22040-0747	ART UNIT	PAPER NUMBER			
	•	•		1616			

DATE MAILED: 10/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary - The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Repty AS HORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Betartions of time rup be available under the provisions of 37 CFR. 1388(). In 93 CFR. 1388().		Application No.	Applicant(s)				
Alton N. Pryor - The MAILING DATE of this communication appears on the cover sheet with the correspondence address - Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - If NO pered for regly is specified above, the maining date of this communication. - If NO pered for regly is specified above, the maining date of this communication. - If NO pered for regly is specified above, the maining date of this communication. - If NO pered for regly is specified above, the maining date of this communication. - If NO pered for regly is specified above, the maining date of the maining date of this communication. - If NO pered for regly is specified above, the maining date of the maining date of this communication and the period of the specified above, the maining date of this communication. - If NO pered for regly is specified above, the maining date of this communication. - If NO period for regly is specified above, the maining date of this communication. - If NO period for regly is specified above, the maining date of the maining date of this communication. - If NO period for regly is specified above, the maining date of this communication. - If NO period for regly is specified above, the maining date of this communication. - Application is FINAL. - 20) This action is non-final. - 3) This action is FINAL. - 20) This action is non-final. - 3) This action is final. - 20) This action is final. - 20) This action is non-final. - 3) This action is non-final. - 4 This action is non-final. - 4 This action is non-final. - 5 This action is non-	Office Action Comment	09/842,896	HAYASHI ET AL.				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address — Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions form any be available under the provisions of 3 CFR 1.1360, in no event, however, may neigh be timely field to the provision of the provision of 3 CFR 1.1360, in no event, however, may neigh be timely field to the provision of Claims 4) □ Claim(s) 4.6-8.10.12 and 14-19 is/are pending in the application. 4a) Of the above claim(s) is/are allowed. 6) □ Claim(s) is/are allowed. 7) □ Claim(s) 4.6-8.10.12 and 14-19 are subjected to the standard of the provision of the provisi	Office Action Summary	Examiner	Art Unit				
Period for Reply A SHORTENEO STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. ***after SIX (8) MONTH'S from the mailing date of this communication.** In Operation or purply the final door, the machine date of this communication in the property of the prop	•	·					
WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Edeminion of time may be available under the provision of 3°CFR 1.18(a). In the overh. Never. In ay regly be limely field after SIX (b) MONTHS from the mailing date of this communication. Falline to mere, may a regly be limely field after SIX (b) MONTHS from the mailing date of this communication. Falline to mere you will the set or exceeded period for review to by advantage on become ARANDEDIO SIX U.S. C § 133). Any reply received by the Office later than these months after the mailing date of this communication, even if timely filled, may reduce any exceeded particle from these months after the mailing date of this communication, even if timely filled, may reduce any exceeded particles and the mailing date of this communication, even if timely filled, may reduce any exceeded particles and the mailing date of this communication, even if timely filled, may reduce any exceeded particles. 10] Responsive to communication(s) filled on ②. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s)							
1) Responsive to communication(s) filed on 3. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 4.6-8.10.12 and 14-19 is/are pending in the application. 4a) Of the above claim(s) is/are allowed. 6) Claim(s) is/are allowed. 6) Claim(s) is/are allowed. 6) Claim(s) is/are objected to 2.2 € € € € € € € € € € € € € € € € € €	 WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any 						
2a) This action is FINAL. 2b) This action is non-final. 3 Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 4.6-8.10.12 and 14-19 is/are pending in the application. 4a) Of the above claim(s) is/are allowed. 6) Claim(s) is/are allowed. 6) Claim(s) is/are objected to £2 € € € € € € € € € € € € € € € € € €	Status						
2a) This action is FINAL. 2b) This action is non-final. 3 Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 4.6-8.10.12 and 14-19 is/are pending in the application. 4a) Of the above claim(s) is/are allowed. 6) Claim(s) is/are allowed. 6) Claim(s) is/are objected to £2 € € € € € € € € € € € € € € € € € €	1) Responsive to communication(s) filed on 3.						
Closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) □ Claim(s) 4.6-8.10.12 and 14-19 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) □ Claim(s) is/are allowed. 6) □ Claim(s) is/are allowed. 7) □ Claim(s) is/are objected to £ 2 € € € € € € € € € € € € € € € € € €	· — · · — · · · —	action is non-final.					
Application Papers 9)	3) Since this application is in condition for allowan	e except for formal matters, prosecution as to the merits is					
4) Claim(s) 4.6-8.10.12 and 14-19 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6 Claim(s) is/are rejected. 7) Claim(s) is/are objected to.	closed in accordance with the practice under E	· · · · · · · · · · · · · · · · · · ·					
4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) is/are rejected. 7) Claim(s) is/are objected to \(\(\)	Disposition of Claims						
9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) Notice of Praftsperson's Patent Drawing Review (PTO-948) 3) Interview Summary (PTO-413) Paper No(s)/Mail Date 5) Notice of Informal Patent Application (PTO-152) 6) Other:	4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) is/are rejected. 7) ☐ Claim(s) is/are objected to. ✓ ℓ) ℓ C	rn from consideration.	nent. Powl				
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority documents have been received. 2. ☐ Certified copies of the priority documents have been received in Application No 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) ☐ Notice of References Cited (PTO-892) 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 877/03. 6) ☐ Other:	Application Papers						
12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority documents have been received. 2. ☐ Certified copies of the priority documents have been received in Application No 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) ☑ Notice of References Cited (PTO-892) 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) ☑ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 8/7/03. 6) ☐ Other:	10) The drawing(s) filed on is/are: a) acceed applicant may not request that any objection to the confidence and the correction are the correction and the correction are the correction and the correction are the corr	epted or b) objected to by the E frawing(s) be held in abeyance. See on is required if the drawing(s) is obj	37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).				
a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority documents have been received. 2. ☐ Certified copies of the priority documents have been received in Application No 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) ☒ Notice of References Cited (PTO-892) 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 87/03. 6) ☐ Other:	Priority under 35 U.S.C. § 119						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 8/7/03. 4) Interview Summary (PTO-413) Paper No(s)/Mail Date 5) Notice of Informal Patent Application (PTO-152) Other:	12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 8/7/03. 4) Interview Summary (PTO-413) Paper No(s)/Mail Date 5) Notice of Informal Patent Application (PTO-152) Other:	Attachment(s)						
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 8/7/03. 5) Notice of Informal Patent Application (PTO-152) 6) Other:							
	3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 8/7/03.	5) D Notice of Informal Pa					

Art Unit: 1616

DETAILED ACTION

Applicant's arguments, see paper, filed 7/22/05, with respect to the rejection(s) of claim(s) under 35 USC 112 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of rejection below.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 4,6-8,10,12,14-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (USPN 5674897; 10/7/97) in view of Szoka et al on record. Kim teaches a composition comprising 10 – 20,000 ppm C8 to C14 fatty acid esters plus a surfactant plus water. Kim teaches a method of applying the composition to plants to control nematodes. Kim does not state that invention activates or promotes plant growth. See abstract, column 4 lines 1-63, column 8 lines 58-65, claims 1-4. However, it is obvious that if the composition is applied to the plant that it would activate plant growth since it is used to control nematodes from destroying plants. Kim does not teach the invention comprising fertilizer or EDTA. However, Szoka teaches a plant treatment composition comprising fertilizer and EDTA. See abstract, column 6 lines 19-35, column 8 lines 39-67. It would have been obvious to one having ordinary skill in the art to modify the invention taught by Kim to include the fertilizer and EDTA taught by Szoka.

Art Unit: 1616

One would have been motivated to do this in order to enhance the effectiveness of Kim's invention at promoting the growth of plants. One would have also been motivated to do this since both inventions are to plant treatment compositions. With respect to the amounts of ingredients, one having ordinary skill in the art would have been expected to determine the optimum amounts of ingredients. One would have been motivated to do this in order to develop the most effective composition for controlling plant growth.

Election Status

The elected is allowable for reason on record.

Telephonic Inquiry

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alton N. Pryor whose telephone number is 571-272-0621. The examiner can normally be reached on 8:00 a.m. - 4:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Kunz can be reached on 571-272-0887. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Page 4

Alton Pryor Primary Examiner AU 1616